## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Ukpong Uwem</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol Baker Hughes Co [ BKR ]		tionship of Reporting Pe all applicable) Director	rson(s) to Issuer 10% Owner			
(Last) 17021 ALDIN	(First) E WESTFIEL	(Middle) D ROAD	3. Date of Earliest Transaction (Month/Day/Year) 01/22/2020		Officer (give title below) EVP, Global O	Other (specify below) perations			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON	TX	77073		X	Form filed by One Rep	porting Person			
(City)	(State)	(Zip)	_		Form filed by More the Person	an One Reporting			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

#### 1. Title of Security (Instr. 3) 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct (D) or Indirect 2. Transaction 5. Amount of Securities 7. Nature of 3. Transaction Code (Instr. 8) Indirect Beneficial Date (Month/Day/Year) if any Beneficially (Month/Day/Year) Owned Following (I) (Instr. 4) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) Code Price v Amount (Instr. 3 and 4) Class A Common Stock 01/22/2020 4,688 (1) 4,958.6 Μ A D Class A Common Stock 01/22/2020 F 1,321 D \$22.68 3,637.6 D Class A Common Stock 01/23/2020 Μ 7,252 A (1) 10,889.6 D \$22.96 9,427.41(2) D Class A Common Stock 01/23/2020 F 1,752 D

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(3-,,,,															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Unit 01_20	(1)	01/22/2020		Α		44,091		(3)	(3)	Class A Common Stock	44,091	(1)	44,091	D	
Restricted Stock Unit 01_18	(1)	01/22/2020		М			4,688	(3)	(3)	Class A Common Stock	4,688	(1)	4,688	D	
Restricted Stock Unit 01_19	(1)	01/23/2020		М			7,252	(3)	(3)	Class A Common Stock	7,252	(1)	14,506	D	

#### **Explanation of Responses:**

1. Each restricted stock unit represents a right to receive without payment one share of Class A Common Stock of the Issuer.

2. Includes 289.81 shares purchased through the Baker Hughes Company Employee Stock Purchase Plan.

3. The restricted stock unit vests in three equal annual installments beginning one year from the date of grant.

#### **Remarks:**

#### /s/ Lee Whitley, Attorney-infact

01/24/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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