SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				. ,		·····					
	dress of Reporting <u>Lynn Lavert</u>	2. Date of Event Requiring Statement (Month/Day/Year) 07/03/2017		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Baker Hughes a GE Co</u> [ BHGE ]							
(Last) (First) (Middle) 17021 ALDINE WESTFIELD ROAD				4. Relationship of Reporting Perso (Check all applicable) X Director		on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)			
1/021 ALDI	NE WESTFIEL				Officer (give title	Other (specify		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street)						below)	below)				
HOUSTON	TX	77073							Form filed by More than One Reporting Person		
(City)	(State)	(Zip)							<u> </u>		
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Class A Common Stock					17,989	D					
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable an Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securit		y (Instr. 4) Conve or Exe		cise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date	Expiratio	on		Amount or Number of		e Direct (D) or Indirect (I) (Instr. 5)		
			Exercisable	Date	Title		Shares				
Stock Option (Right to Buy)			07/03/2017	05/21/202	22 Cl	ass A Common Stock	1,000	22.93	D		
Stock Option (Right to Buy)			07/03/2017	06/29/202	22 Cl	ass A Common Stock	1,119	22.46	D		
Stock Option (Right to Buy)			07/03/2017	07/16/202	22 Cl	ass A Common Stock	2,053	21.8	D		
Stock Option (Right to Buy)			07/03/2017	09/28/202	22 Cl	ass A Common Stock	2,160	28.32	D		
Stock Option (Right to Buy)			07/03/2017	12/31/202	22 Cl	ass A Common Stock	2,479	23.35	D		
Stock Option (Right to Buy)			07/03/2017	01/28/202	23 Cl	ass A Common Stock	2,722	28.96	D		
Stock Option (Right to Buy)			07/03/2017	06/28/202	23 Cl	ass A Common Stock	2,934	28.37	D		
Stock Option (Right to Buy)			07/03/2017	09/30/202	23 Cl	ass A Common Stock	2,776	32.03	D		
Stock Option (Right to Buy)			07/03/2017	12/31/202	23 Cl	ass A Common Stock	2,525	36.95	D		

Explanation of Responses:

**Remarks:** 

Following the consummation of the transactions contemplated by the Transaction Agreement and Plan of Merger, dated as of October 30, 2016, among General Electric Company, a New York corporation, Baker Hughes Incorporated, a Delaware corporation ("BHI"), the Issuer and certain subsidiaries of BHI, as amended by that certain Amendment to Transaction Agreement and Plan of Merger dated as of March 27, 2017 (the "Transaction Agreement"), the Reporting Person became a Director of the Issuer. Exhibit 24 - Power of Attorney

> <u>/s/ Lee Whitley, Attorney-in-</u> fact

07/03/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## SECTION 16 CERTIFICATION

## 21. Limited Power of Attorney for Section 16 Reporting Obligations.

I, Lynn L. Elsenhans, hereby appoint Baker Hughes, a GE Company, to assist me in the preparation and filing of Section 16 reports, and execute the below Power of Attorney for this purpose.

I am a director nominee for Baker Hughes, a GE Company, and until further written notice, I hereby individually authorize Lee Whitley (Corporate Secretary), William Marsh (General Counsel) and any assistant secretary of Baker Hughes, a GE Company, to sign on my behalf any Form 3, Form 4, Form 5, Form 144 or related form that I have filed or may file hereafter in connection with my direct or indirect beneficial ownership of securities of Baker Hughes, a GE Company, and to take any other action of any type whatsoever in connection with the foregoing that in her or his opinion may be for the benefit of, in the best interest of, or legally required by me.

May 19, 2017

Signed: Jynn L. Esarhaun

Date Lynn L. Elsenhans