## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMP Number

| hours per response:     | 0.5       |
|-------------------------|-----------|
| Estimated average burde | en        |
| OND NUMBER.             | 3235-0207 |

| 1. Name and Addr   | 1 0                   | Person*            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Baker Hughes a GE Co [ BHGE ] | (Check                 | tionship of Reporting Pers<br>all applicable)<br>Director<br>Officer (give title | erson(s) to Issuer<br>10% Owner<br>Other (specify |  |  |  |  |  |
|--|-----------------------|--------------------|---|------------------------|--|---|--|--|--|--|--|
| (Last)<br>17021 ALDIN  | (First)<br>E WESTFIEL | (Middle)<br>D ROAD | 3. Date of Earliest Transaction (Month/Day/Year)<br>07/31/2017                      | X                      | chief Financial  | below)  |  |  |  |  |  |
| (Street)   | тх                    | 77073              | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Repo                            |   |  |  |  |  |  |
| (City)   | (State)               | (Zip)              |   |                        | Form filed by More than<br>Person  | 5   |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                       |                    |   |                        |  |   |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |                             |   |  |               |                        |   |   |   |
|--|--|---|-----------------------------|---|--|---------------|------------------------|---|---|---|
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|  |  |   | Code                        | v | Amount   | (A) or<br>(D) | Price                  | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Class A Common Stock   | 08/02/2017                                 |   | Р                           |   | 2,801  | A             | \$35.24 <sup>(1)</sup> | 2,801   | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (1<br>8) |   | of     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|-------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                          | v | (A)    | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Stock Unit                            | (2)   | 07/31/2017                                 |   | A                             |   | 99,259 |     | (3)  | (3)                | Class A<br>Common<br>Stock  | 99,259                                 | (2)   | 99,259   | D  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$36.89   | 07/31/2017                                 |   | A                             |   | 70,507 |     | (4)  | 07/31/2027         | Class A<br>Common<br>Stock  | 70,507                                 | \$0   | 70,507   | D  |  |

#### Explanation of Responses:

1. The price reported in Column 5 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.17 to \$35.35, inclusive. Details on the number of shares sold at each separate price will be provided to the staff of the Securities and Exchange Commission upon request.

2. Each restricted stock unit represents a right to receive without payment one share of Class A Common Stock of the Issuer.

3. The restricted stock unit vests in three equal annual installments beginning one year from the date of grant.

4. The stock option vests in three equal annual installments beginning one year from the date of grant.

#### **Remarks:**

## /s/ Lee Whitley, Attorney-in-

fact

\*\* Signature of Reporting Person

08/02/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.