SEC Form 4
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Restricted

Stock Unit D 5 19

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(1)

Explanation of Responses:

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				Section 30(n) of the investment Company Act of 1940 Section 30(n) of the investment Company Act of 1940 Section 30(n) of the investment Company Act of 1940 Section 30(n) of the investment Company Act of 1940								5. Relationship of Reporting Person(s) to Issuer						
CAZALOT CLARENCE P JR				Baker Hughes Co [ BKR ]							1	ck all applica	,		10% Ov	vner		
(Last) 17021 A	`	First) ESTFIELD ROA	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 05/11/2020							Officer ( below)	(give title		Other (s below)	specify		
(Street) HOUST (City)		X State)	77073 (Zip)		4. If Am	endment, Dat	e of C	Driginal I	Filed (	Month/Day	//Yea	ar)	Line	K Form fil	ed by On	e Repo	(Check App rting Person One Repon	ı
		Та	ble I - Nor	n-Deriv	ative Se	ecurities A	Acqu	uired,	Disp	osed of	f, o	or Bene	ficially	/ Owned				
1. Title of Security (Instr. 3) 2. Trans. Date (Month/L			action 2A. Deemed Execution Da if any (Month/Day/Y		ate, Transaction Code (Ins						Securities Beneficia Owned Fo	5. Amount of Securities Beneficially Owned Following		Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Class A G	Common St	ock		05/11	/2020			М		7,840		Α	(1)	56,9	921	D		
			Table II -	Derivat (e.g., p	tive Sec uts, cal	urities Ac Is, warran	cqui Its, c	red, D option	ispo Is, co	sed of, onvertib	or ole :	Benefi securi	cially ( ties)	Owned				
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date, Tr ecurity or Exercise (Month/Day/Year) if any C		ansaction ode (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Date (Month/Day/Year) 5				7. Title and Amount of Securities Underlying Derivative Sec (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5) Owned Follow Report Transa (Instr. 4)		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date Exercisable

05/10/2020<sup>(2)</sup>

Expiration Date

05/10/2020<sup>(2)</sup>

Remarks:				

## <u>/s/ Lee Whitley, Attorney-in-</u> <u>fact</u>

Amount or Number

Shares

7,840

(1)

of

Title

Class A

Common Stock

05/11/2020

0

D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

1. Each restricted stock unit represents a right to receive without payment one share of Class A Common Stock of the Issuer.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/11/2020

2. The restricted stock units vest on the first anniversary of the grant date.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Μ

(A) (D)

7,840

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.