SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Heilmann I		2. Date of Event Requiring Staten (Month/Day/Year 07/03/2017	nent	3. Issuer Name and Ticker or Trading Symbol <u>Baker Hughes a GE Co</u> [BHGE]								
(Last) (First) (Middle) 17021 ALDINE WESTFIELD ROAD					4. Relationship of Reporting Pers (Check all applicable) Director			on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
-					Х	Officer (give title below)	t	Other (spe below)		Applic	able Line)	/Group Filing (Check
(Street) HOUSTON	ТХ	77073				Chief Digital C	Offic	cer		Х		y One Reporting Person y More than One erson
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		;t(D) (I	4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Class A Common Stock						2,000	D					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)			Expiration D	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securit			4. Convers or Exerc	ion ise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Explanation of R			Date Exercisable	Expiration Date	Title	3		Amount or Number of Shares	Price of Derivativ Security	ve	Direct (D) or Indirect (I) (Instr. 5)	

Remarks:

Following the consummation of the transactions contemplated by the Transaction Agreement and Plan of Merger, dated as of October 30, 2016, among General Electric Company, a New York corporation, Baker Hughes Incorporated, a Delaware corporation ("BHI"), the Issuer and certain subsidiaries of BHI, as amended by that certain Amendment to Transaction Agreement and Plan of Merger dated as of March 27, 2017 (the "Transaction Agreement"), the Reporting Person became an Officer of the Issuer. Exhibit 24 - Power of Attorney

<u>/s/ Lee Whitley, Attorney-in-fact</u>

07/03/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

EXECUTIVE OFFICER'S QUESTIONNAIRE – BAKER HUGHES, A GE COMPANY (Provide responses on additional sheets if needed.)

SECTION 16 CERTIFICATION

14. Limited Power of Attorney for Section 16 Reporting Obligations.

I, Matthias Heilmann, hereby appoint Baker Hughes, a GE Company, to assist me in the preparation and filing of Section 16 reports, and execute the below Power of Attorney for this purpose.

I am an executive nominee for Baker Hughes, a GE Company, and until further written notice, I hereby individually authorize M. Lee Whitley [Corporate Secretary], William Marsh [General Counsel] and any assistant secretary of Baker Hughes, a GE Company, to sign on my behalf any Form 3, Form 4, Form 5, Form 144 or related form that I have filed or may file hereafter in connection with my direct or indirect beneficial ownership of securities of Baker Hughes, a GE Company, and to take any other action of any type whatsoever in connection with the foregoing that in her or his opinion may be for the benefit of, in the best interest of, or legally required by me.

2017

Signed Matthias

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