## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL OMB Number: 3235-0287

| hours per response:      | 0.5 |
|--------------------------|-----|
| Estimated average burden |     |
|                          |     |

| 1. Name and Addr<br>Worrell Bria                       | 1 0     | Person*                   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Baker Hughes a GE Co [ BHGE ] |                        | ationship of Reporting Per<br>( all applicable)<br>Director<br>Officer (give title   | rson(s) to Issuer<br>10% Owner<br>Other (specify |  |
|--|---------|---------------------------|---|------------------------|--|--|--|
| (Last) (First) (Middle)<br>17021 ALDINE WESTFIELD ROAD |         | · · · · ·                 | 3. Date of Earliest Transaction (Month/Day/Year)<br>07/31/2019                      |                        | below)<br>Chief Financia   | below)   |  |
| (Street)<br>HOUSTON                                    |         |                           | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            | 6. Indiv<br>Line)<br>X | ividual or Joint/Group Filing (Check Applicable<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |  |
| (City)   | (State) | (Zip)<br>Table I - Non-De | rivative Securities Acquired, Disposed of, or Bene                                  | ficially               | Person Owned   |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table I - No                    | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                             |   |        |               |                   |   |   |   |  |
|---------------------------------|--|---|-----------------------------|---|--------|---------------|-------------------|---|---|---|--|
| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year)                                       | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   |        |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                 |  |   |                             | v | Amount | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   |   |  |
| Class A Common Stock            | 07/31/2019   |   | М                           |   | 33,086 | A             | \$ <mark>0</mark> | 59,821  | D   |   |  |
| Class A Common Stock            | 07/31/2019   |   | F                           |   | 12,243 | D             | \$25.39           | 47,578  | D   |   |  |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   |     |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|--------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | (Instr. 4)   |  |  |
| Restricted<br>Stock Unit                            | (1)   | 07/31/2019                                 |   | М                            |   |     | 33,086 | (2)  | (2)                | Class A<br>Common<br>Stock  | 33,086                                 | \$0   | 33,087   | D  |  |

Explanation of Responses:

1. Each restricted stock unit represents a right to receive without payment one share of Class A Common Stock of the Issuer.

2. The restricted stock unit vests in three equal annual installments beginning one year from the date of grant.

**Remarks:** 



08/02/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.